



(Rev. 5/92) Information Disclosure Statement List By Applicant Under 37 CFR Section 1.98(a) (1) (Use several sheets if necessary)	Attorney Docket Number SMD-125	Serial Number 10/055,027
	Applicant Thomas A. Kraker	
	Filing Date: January 23, 2002 Confirmation No.: 7502	Group 1731

NOTE:

If no indication is made in the column marked "COPY NOTE," the required legible copy of the corresponding item is submitted herewith; otherwise, a copy is not required and/or not submitted, for the following reason(s) [corresponding reason number is listed in "COPY NOTE" column]

- (1) This item is cumulative, per Rule 98(c)
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U.S. PATENT DOCUMENTS										
EXAMINER INITIALS	PATENTEE NAME	PATENT NUMBER							ISSUE DATE	COPY NOTE
JAW	Seaman	1	9	9	6	0	0	2	03/26/35	
	Seaman	2	0	1	3	5	0	8	09/03/35	
	Gregory	2	7	7	6	9	1	2	01/08/57	
	Hagen	3	2	1	5	5	7	9	11/02/65	
	Tamol	3	5	1	1	2	4	7	05/12/70	
	Tamol	3	5	2	6	9	0	4	09/01/70	
	O'Grady	3	6	2	0	8	0	1	11/16/71	
	Tamol, et al.	3	6	9	9	9	7	3	10/24/72	
	Saint-Pastou	3	7	3	6	9	4	0	06/05/73	
	Houck, Jr., et al.	3	9	1	1	9	3	2	10/14/75	
	West, et al.	3	9	4	9	7	6	2	04/13/76	
	Baker, et al.	4	0	7	7	4	1	4	03/07/78	
	Hind, et al.	4	1	2	9	1	3	4	12/12/78	
	Cohn	4	1	4	6	0	4	0	03/27/79	
	Bohrn, et al.	4	2	2	2	7	4	0	09/16/80	
	Jaisle, et al.	4	2	6	7	2	4	0	05/12/81	
	Simon	4	3	0	3	0	8	4	12/01/81	
	Norman, et al.	4	4	5	2	2	5	9	06/05/84	
	Dixit	4	5	9	0	9	5	5	05/27/86	
	Dashley, et al.	4	6	0	7	6	4	7	08/26/86	
	Durocher	4	6	1	5	3	4	5	10/07/86	
	Mathews, et al.	4	6	2	2	9	8	3	11/18/86	
	Yamaguchi, et al.	4	6	7	9	5	7	5	07/14/87	
	Hampl, Jr.	4	7	3	9	7	7	5	04/26/88	
	Hampl, Jr., et al.	4	8	0	5	6	4	4	02/21/89	
	Adams, et al.	4	8	8	9	1	4	5	12/26/89	
	Riedesser	4	9	8	4	5	8	9	01/15/91	
	Kallianos, et al.	4	9	9	8	5	4	2	03/12/91	
	Garbe	5	0	5	7	6	0	6	10/15/91	
	Montoya, et al.	5	0	9	2	3	5	3	03/03/92	
	Gentry	5	1	3	1	4	1	6	07/21/92	
	Washington	5	1	4	4	9	6	6	09/08/92	
	Kasbo, et al.	5	1	7	0	8	0	7	12/15/92	
	Riggs, et al.	5	1	7	8	1	6	7	01/12/93	
	Washington	5	2	2	1	5	0	2	06/22/93	
	Raker, et al.	5	2	6	1	4	2	5	11/16/93	
	Baldwin, et al.	5	2	6	3	9	9	9	11/23/93	
	Arzonico, et al.	5	2	7	1	4	1	9	12/21/93	
	Baldwin, et al.	5	4	1	7	2	2	8	05/23/95	



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE STATEMENT

In re Application of: Thomas A. Kraker

Attorney Docket No.: SMD-125

Serial No.: 10/055,027

Date: November 4, 2002

Filed: January 23 2002

Art Unit: 1731

Confirmation No.: 7502

Our Account No.: 04-1403

Title: Smoking Articles With Reduced Ignition Proclivity Characteristics

Commissioner for Patents
U.S. Patent and Trademark Office
Washington, D.C. 20231

Sir:

The following is an Information Disclosure Statement for the captioned patent application, pursuant to 37 CFR Sections 1.56, 1.97, and 1.98.

1.[x] Attached hereto is:

- a.[x] A list of materials for consideration per Rule 98(a)(1): 2 page(s)
- b.[x] A legible copy of each patent, publication, or other item listed per Rule 98(1)(2), unless not required per Rule 98(c) and/or (d) and as indicated on the attached list(s):
52 item(s)
- c.[] For each non-English language item listed, pursuant to Rule 98(a)(3), a concise explanation of the relevance thereof as it is presently understood by the individual designated in Rule 56(c) most knowledgeable about the content of such items: _____

[] Such explanation is provided in the Search Report from a corresponding application enclosed herewith along with any enclosed translation into English.

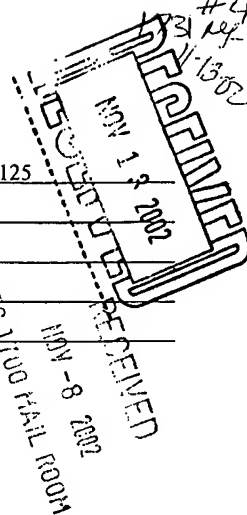
2.[x] This Information Disclosure Statement is being filed [CHECK ONE]:

- a.[x] WITHIN THREE MONTHS of the application filing date, national stage date of entry, or along with or after a request for continued examination, OR BEFORE the mailing date of a first Office Action on the merits, which ever event occurs last, WHEREFORE per Rule 97(b) NO filing fee or Rule 97(e) certificate is required.
- b.[] AFTER the time periods of section 2.a above, but BEFORE a Final Action, Notice of Allowance OR an action that otherwise closes prosecution, WHEREFORE PER Rule 97(c) submitted herewith is [CHECK ONE]:
- i.[] Certification per Rule 97(e); OR
- ii[] Filing Fee per Rule 17(p)\$180.00
- c.[] AFTER a Final Action OR Notice of Allowance, but BEFORE payment of the issue fee, WHEREFORE per Rule 97(d) submitted herewith is:
- i. Certification per Rule 97(e); AND
- ii. Filing fee per Rule 17(p)\$180.00

3.[] Rule 97(e) Certification; per Rule 97(e), the undersigned certifying party make the following certification statement [CHECK ONE]:

- a.[] That each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement; OR
- b.[] That no item of information contained in this Information Disclosure Statement was first cited in a foreign patent office in a counterpart foreign application and to the knowledge of the undersigned after making a reasonable inquiry, was known to any individual designated in Rule 56(c) more than three months prior to the filing of this statement.

CERTIFYING PARTY (if different from bottom signature; omission here indicates that certification is being made by signer per signature below).



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ADDRESS:

Post Office Box 1449
Greenville, South Carolina 29602
Telephone: 864-271-1592
Facsimile: 864-233-7342

DORITY & MANNING, P.A.

By: Christina L. Mangelsen, Patent Agent

Reg. No.: 50,244

Signature: 

Date: November 4, 2002